

# Introduction to quantum integrability

Bologna, December 2007

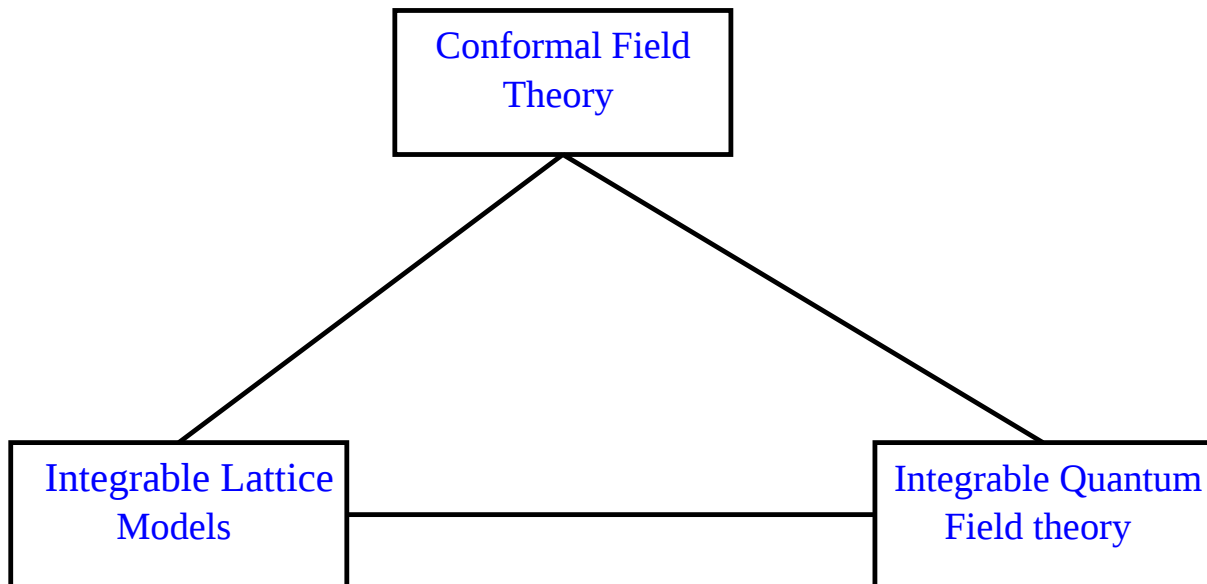
Anastasia Doikou  
INFN Bologna

## Why Integrability?

- Integrable Models: Exactly solvable models
- NON PERTURBATIVE methods: exact results!
- Interface, Mathematics–Physics. Wealth of applications and relations to other research areas

## Relations/Applications

- Statistical Mechanics (*Onsager, Bethe, Baxter, McCoy...*)
- Condensed matter physics, e.g. Kondo effect, quantum Hall effect, disorder systems (*Affleck, Korepin, Saleur, Tsvelik, Wiegmann....*)
- High energy physics: QCD (*Lipatov, Faddeev, Korchemsky*), super YMT (*Minahan-Zarembo...*)
- String theory via CFT, D-branes via BCFT (*Polchinski...*)
- Mathematical aspects: quantum groups, braids, Lie and Hecke algebras, Virasoro algebras...(*Drinfeld, Faddeev, Jimbo, Kulish, Sklyanin, Reshetikhin...*)



**Nice aspect!**

- Perturbed CFT  $\rightarrow$  IQFT (*Zamolodchikov '89*)
- Critical statistical models (ILM)  $\rightarrow$  CFT (*Belavin, Polyakov, Zamolodchikov '84*)
- Light cone continuum limit of ILM  $\rightarrow$  IQFT (*Destri and de Vega '92*)

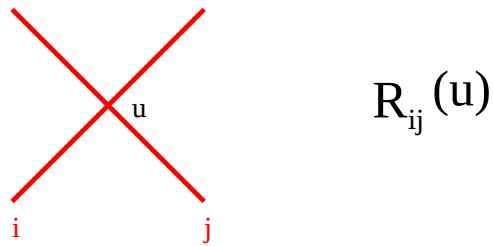
# Algebraic Bethe ansatz

- Introduce the basic building block of the theory,  $R$  matrix  $\rightarrow$  transfer matrix (*Faddeev, Takhtajan, Sklyanin...*)
- **Main aim**: Diagonalization of the transfer matrix via the algebraic Bethe ansatz method  $\rightarrow$  **BAE**
- Obtain quantities of physical interest: Energy momentum spectrum, quantum numbers,  $S$ -matrix, free energy, correlation functions...
- Quantum spin chains natural realizations of quantum algebras.

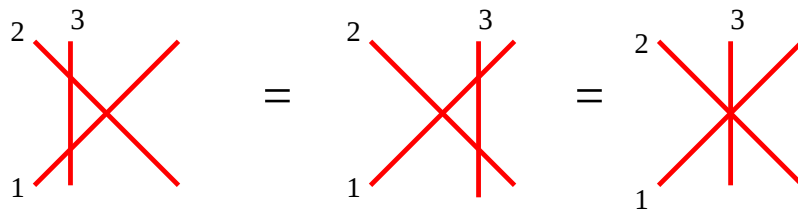
Study scattering of the low lying excitations:  
exact  $S$  matrices

# The $R$ matrix

The  $R$  matrix acts on  $\mathbb{V}^{\otimes 2}$ :



Satisfies the YBE (*Baxter '72*)



$$R_{12}(\lambda_1 - \lambda_2) R_{13}(\lambda_1) R_{23}(\lambda_2) = R_{23}(\lambda_2) R_{13}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

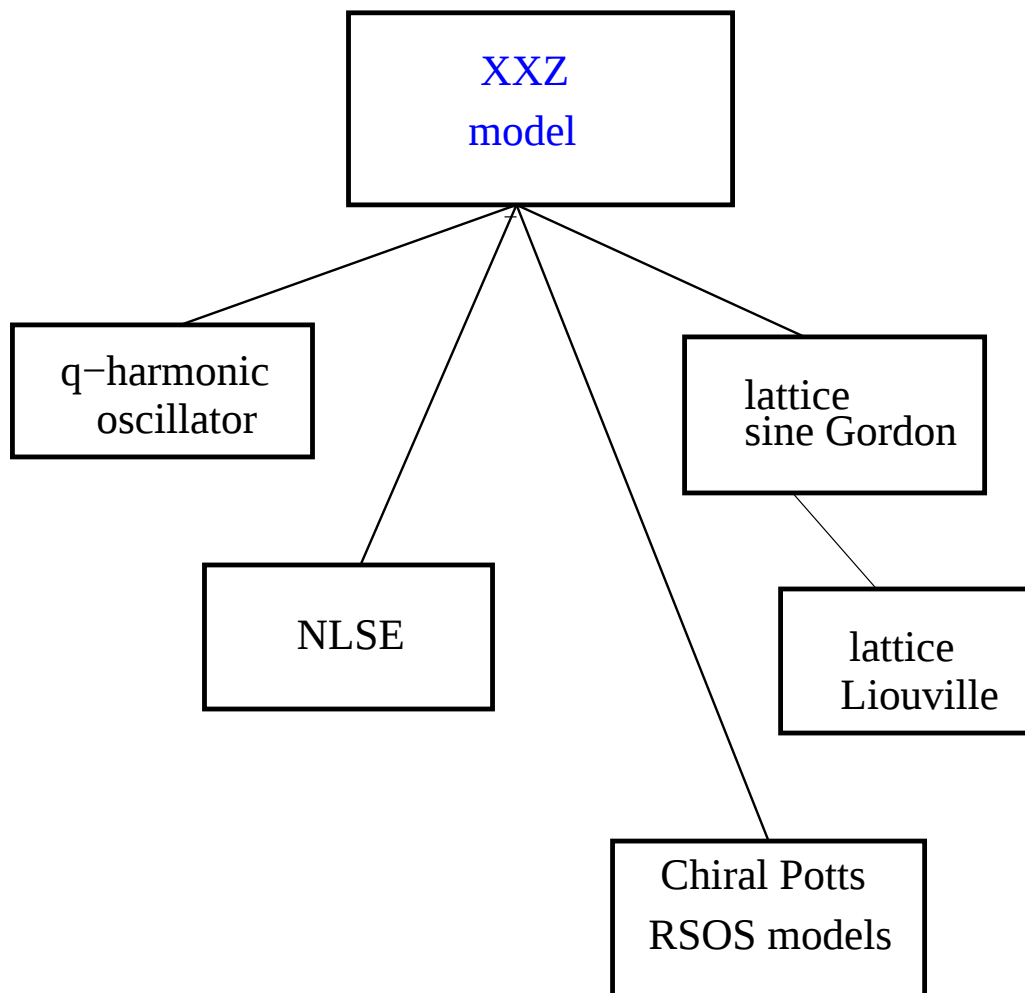
- Physical interpretation of  $R$ : scattering among excitations
- YBE factorization condition of multiparticle scattering

# History

- Many body ( $\delta$  type) interaction (*Yang '67*). Bethe ansatz framework (*Gaudin '71*): YBE appears as factorization condition
- Statistical Mechanics (via YBE) commuting transfer matrices (*Baxter '72*)
- Theory of factorized scattering “bootstrap” in relativistic setting (*Zamolodchikov, Berlin group, late 70's*)
- *Faddeev, Korepin, Kulish, Reshetikhin, Sklyanin, Takhtajan, ..., late 70's* introduced *QISM* method: factorized scattering + soliton theory. Quantum algebras arise naturally in this context.

# The XXZ model

- XXZ model in particular ‘Universal model’ (*Faddeev, Izergin, Korepin...*) associated with many integrable models (quantum):



The Hamiltonian

$$H = -\frac{1}{4} \sum_{j=1}^N \left( \sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \cosh i\mu \sigma_j^z \sigma_{j+1}^z \right)$$

with periodic BC

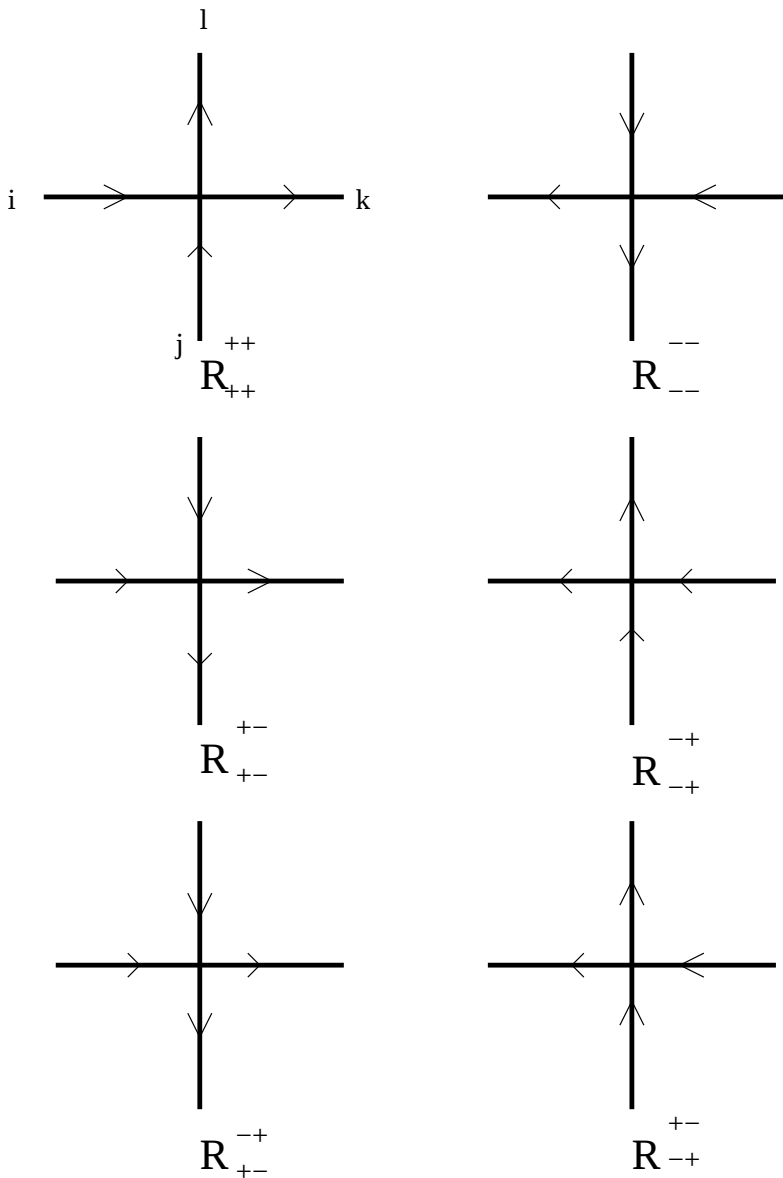
$$\sigma_1^i = \sigma_{1+N}^i$$

The corresponding  $R$  matrix acting on  $\mathbb{C}^2 \otimes \mathbb{C}^2$

$$R(\lambda) = \begin{pmatrix} R_{++}^{++}(\lambda) & 0 & 0 & 0 \\ 0 & R_{+-}^{-+}(\lambda) & R_{+-}^{+-}(\lambda) & 0 \\ 0 & R_{-+}^{-+}(\lambda) & R_{-+}^{+-}(\lambda) & 0 \\ 0 & 0 & 0 & R_{--}^{--}(\lambda) \end{pmatrix}$$

# The 6-vertex model

'Ice rule':  $i + j = k + l$



Relax constraint 8-vertex:  $i + j = k + l \pmod{2}$

## $R$ matrices from the Hecke algebra

Let  $\check{R}_{12}(\lambda) = \mathcal{P}_{12} R_{12}(\lambda)$ ,  $\mathcal{P}(x \otimes y) = y \otimes x$ , then YBE:

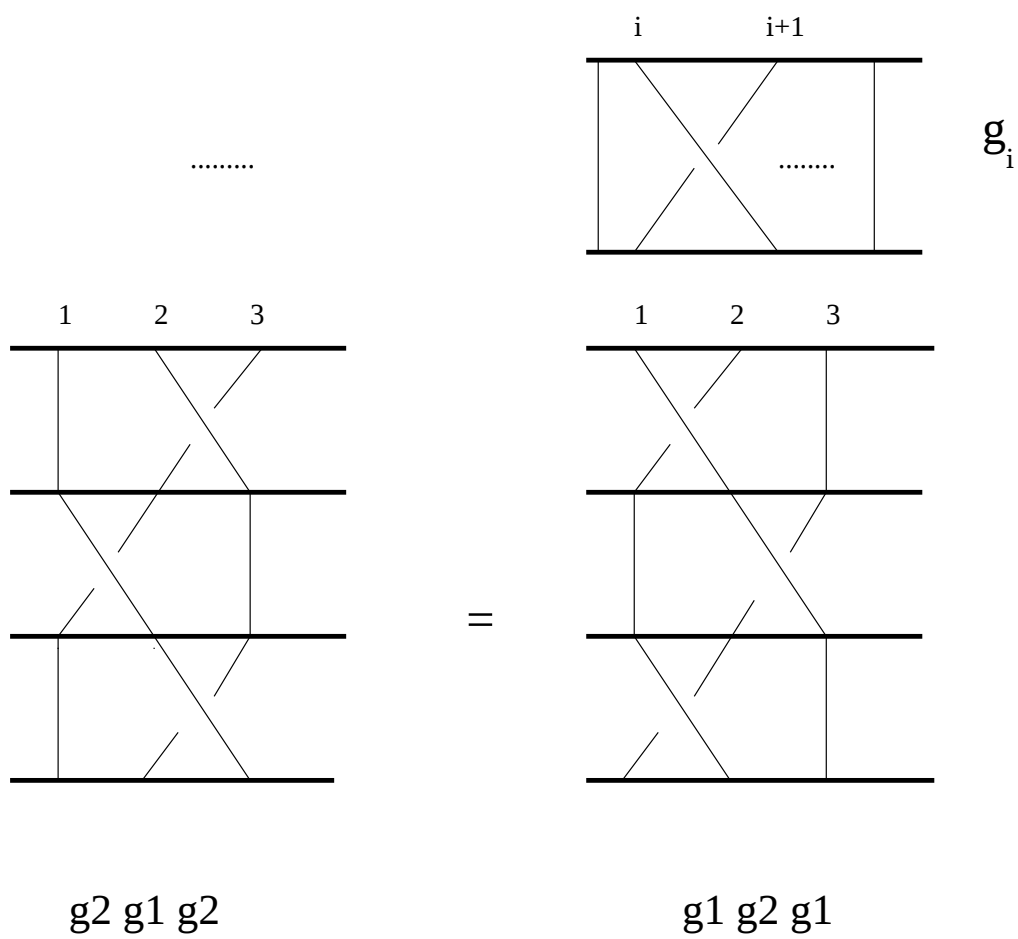
$$\check{R}_{12}(\lambda_1 - \lambda_2) \check{R}_{23}(\lambda_1) \check{R}_{12}(\lambda_2) = \check{R}_{23}(\lambda_2) \check{R}_{12}(\lambda_1) \check{R}_{23}(\lambda_1 - \lambda_2).$$

The Hecke algebra  $\mathcal{H}_N(q)$  with  $g_i$ ,  $i = 1, \dots, N - 1$ :

$$\begin{aligned} (g_i - q) (g_i + q^{-1}) &= 0 \\ g_i g_{i+1} g_i &= g_{i+1} g_i g_{i+1}, \\ [g_i, g_j] &= 0, \quad |i - j| > 1. \end{aligned}$$

The structural similarity between the *braid* relation and YBE:  
 $\mathcal{H}_N \rightarrow$  candidate solutions of YBE.

## Braid graphical representation



Let  $e_i = g_i - q$  then reps of  $\mathcal{H}_N \rightarrow$  solution YBE (Baxterization)  
(Jimbo '86)

$$\begin{aligned}\check{R}_{i\ i+1}(\lambda) &= \sinh[\mu(\lambda + i)] \mathbb{I} + \sinh(\mu\lambda) e_i \\ &= e^{\mu\lambda} g_i - e^{-\mu\lambda} g_i^{-1}.\end{aligned}$$

In particular, let  $(E_{ij})_{kl} = \delta_{ik} \delta_{jl}$ , and  $U$  on  $(\mathbb{C}^n)^{\otimes 2}$ :

$$U = \sum_{i \neq j} (E_{ij} \otimes E_{ji} - q^{-\text{sgn}(i-j)} E_{ii} \otimes E_{jj}).$$

provides the  $R$ -matrix in the fundamental representation of  $U_q(\mathfrak{gl}_n)$ .

Generalize this method for the boundary YBE (reflection) equation *Levy, Martin '94, Doikou, Martin, '02, '05*.

## $U_q(sl_2)$ : The XXZ model

$U$  on  $(\mathbb{C}^2)^{\otimes 2}$

$$U = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & 1 & 0 \\ 0 & 1 & -q^{-1} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

The corresponding  $R$  (spin  $\frac{1}{2}$  rep of  $sl_2$  ) matrix on  $\mathbb{C}^2 \otimes \mathbb{C}^2$   
(rep of Temperley–Lieb algebra)

$$R(\lambda) = \begin{pmatrix} \sinh \mu(\lambda + i) & 0 & 0 & 0 \\ 0 & \sinh \mu\lambda & e^{\mu\lambda} \sinh i\mu & 0 \\ 0 & e^{-\mu\lambda} \sinh i\mu & \sinh \mu\lambda & 0 \\ 0 & 0 & 0 & \sinh \mu(\lambda + i) \end{pmatrix}$$

# Temperley-Lieb algebra

$U$  provides representation of the Temperley-Lieb algebra  $\mathbb{T}_N$  (quotient of  $\mathcal{H}_N$ ) defined by  $e_i$ ,  $i \in \{1, \dots, N-1\}$  and relations:

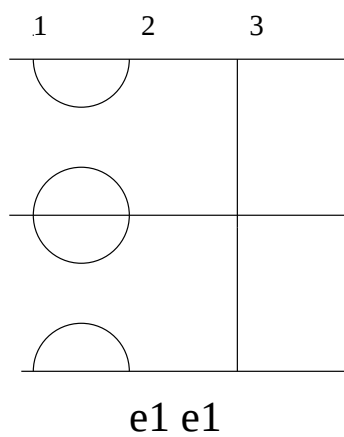
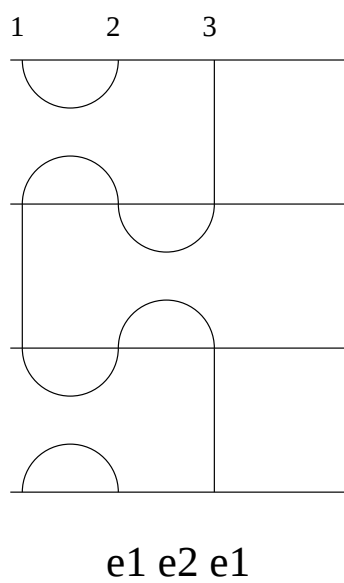
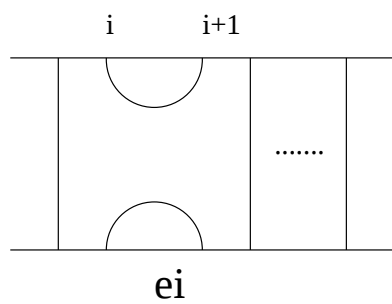
$$\begin{aligned} e_{j\pm 1} e_j e_{j\pm 1} &= e_{j\pm 1} \\ e_i^2 &= -(q + q^{-1})e_i \\ [e_i, e_j] &= 0, \quad |i - j| > 1 \end{aligned}$$

Let  $\pi : \mathbb{T}_N \rightarrow \text{End}((\mathbb{C}^2)^{\otimes N})$  with:

$$U_i = \pi(e_i)$$

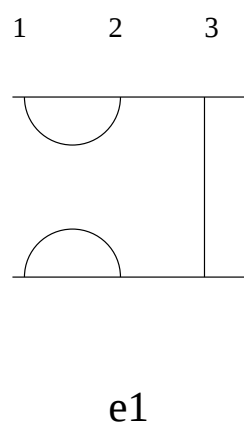
$$U_i = \mathbb{I} \otimes \dots \otimes \mathbb{I} \otimes \underbrace{U}_{i, i+1} \otimes \mathbb{I} \otimes \dots \otimes \mathbb{I}$$

## Graphical representation of TL algebra

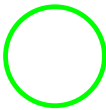


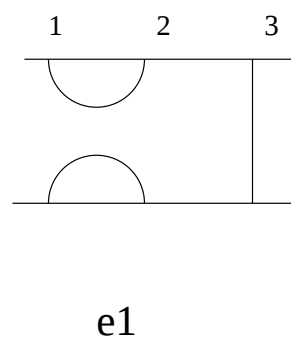
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=

  
 $-(q + 1/q)$



## The Lax operator

Rewrite the  $R$  matrix in terms of Pauli matrices:

$$R(\lambda) = \begin{pmatrix} e^{\mu\lambda} q^{\frac{\sigma^z}{2}} - e^{-\mu\lambda} q^{-\frac{\sigma^z}{2}} & (q - q^{-1})e^{\mu\lambda} \sigma^- \\ (q - q^{-1})e^{-\mu\lambda} \sigma^+ & e^{\mu\lambda} q^{-\frac{\sigma^z}{2}} - e^{-\mu\lambda} q^{\frac{\sigma^z}{2}} \end{pmatrix}$$

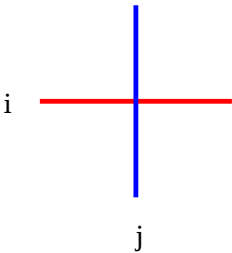
For any representation of  $U_q(sl_2)$ :  $\mathcal{L}$  matrix,

$$\mathcal{L}(\lambda) = \begin{pmatrix} e^{\mu\lambda} A - e^{-\mu\lambda} D & (q - q^{-1})e^{\mu\lambda} B \\ (q - q^{-1})e^{-\mu\lambda} C & e^{\mu\lambda} D - e^{-\mu\lambda} A \end{pmatrix}$$

$A, B, C, D$  generate  $U_q(sl_2)$ :

$$A D = D A = \mathbb{I}, \quad A C = q C A, \quad A B = q^{-1} B A, \\ [C, B] = \frac{A^2 - D^2}{q - q^{-1}}.$$

The  $\mathcal{L}$  matrix acts on  $\mathbb{V} \otimes \mathcal{A}(U_q(\widehat{sl_2}))$ ,  $q = e^{i\mu}$ :



Satisfies the defining relation of  $\mathcal{A}$



$$R_{12}(\lambda_1 - \lambda_2) \mathcal{L}_{13}(\lambda_1) \mathcal{L}_{23}(\lambda_2) = \mathcal{L}_{23}(\lambda_2) \mathcal{L}_{13}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

## Heisenberg-Weyl group

Express A, B, C, D in terms of the Heisenberg-Weyl group:

$$X Z = q Z X$$

then

$$A = D^{-1} = X, \quad B = \frac{1}{q - q^{-1}}(q^{-s}X^{-1} - q^sX)Z^{-1},$$
$$C = \frac{1}{q - q^{-1}}(q^{-s}X - q^sX^{-1})Z$$

For  $q^d = 1$  admits  $d$  dim. 'cyclic' representation (*Roche, Arnaudon '89*).  
In addition cyclicity:

$$X^d = Z^d = \mathbb{I}.$$

Use: Hofstadter Hamiltonian (Bloch electrons in a magnetic field: Azbel-Hofstadter problem) (*Wiegmann, Zabrodin '93, Faddeev, Kashaev '93, Floratos, Nicolis '95*).

Also quantum Hall effect states as cyclic reps of  $U_q(sl_2)$ .

Open boundary conditions (*Doikou '06*).

For

$$X = e^{-i\Phi}, \quad Z = e^{i\Pi}, \quad \left[ \Phi_n, \Pi_m \right] = i\mu\delta_{mn}.$$

obtain the lattice sine-Gordon (*Izergin, Korepin '81*). Limits  $\rightarrow$  Lattice Liouville, q-harmonic oscillator...

$$L_{0n}^{SG}(\lambda) = \begin{pmatrix} h_+(\Phi_n)e^{i\Pi_n} & -im \sinh(\lambda + i\Phi_n) \\ -im \sinh(\lambda - i\Phi_n) & h_-(\Phi_n)e^{-i\Pi_n} \end{pmatrix}$$

$$h_{\pm}(\Phi_n) = 1 + m^2 e^{\pm 2i\Phi_n + i\mu}$$

( $m \rightarrow 0$ ) (*Faddeev, Tirkkonen '95*)  $\rightarrow$  the lattice Liouville model:

$$L_{0n}^{Lv}(\lambda) = \begin{pmatrix} e^{i\Pi_n} & -ie^{-\lambda - i\Phi_n} \\ -i \sinh(\lambda - i\Phi_n) & h(\Phi_n)e^{-i\Pi_n} \end{pmatrix}$$

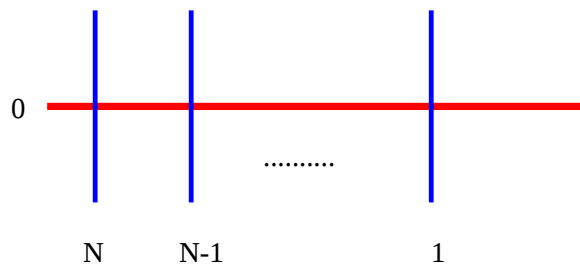
$$h(\Phi_n) = 1 - e^{-2i\Phi_n + i\mu}$$

Similar limiting process leads to the  $q$ -harmonic oscillator  $L$  matrix. (Open boundary conditions (*Doikou '06*)).

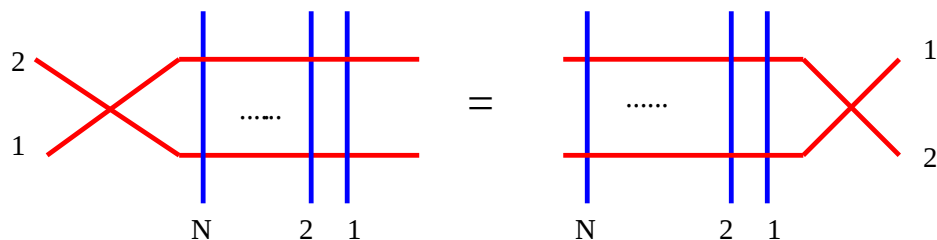
## Tensor representations: the periodic spin chain

The monodromy matrix  $T \in \text{End}(\mathbb{V}) \otimes \mathcal{A}^{\otimes(N)}$  (QISM: *Faddeev, Takhtajan '81*):

$$T_0(\lambda) = \mathcal{L}_{0N}(\lambda) \mathcal{L}_{0\ N-1}(\lambda) \dots \mathcal{L}_{01}(\lambda)$$



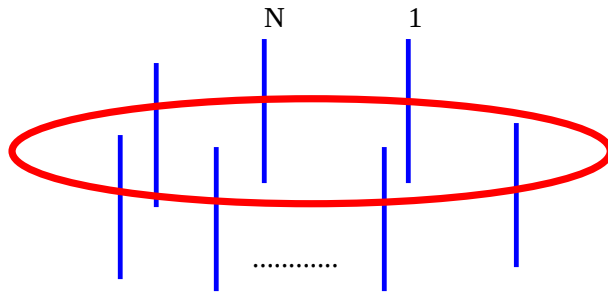
Satisfies the fundamental algebraic relation:



$$R_{12}(\lambda_1 - \lambda_2) T_1(\lambda_1) T_2(\lambda_2) = T_2(\lambda_2) T_1(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

The transfer matrix  $t \in \mathcal{A}^{\otimes N}$  (*Faddeev, Takhtajan '81*):

$$t(\lambda) = \text{Tr}_0 \{T_0(\lambda)\}$$



Provides a family of commuting operators

$$\left[ t(\lambda), t(\lambda') \right] = 0$$

Latter commutation relation ensures **Integrability**

$$\mathcal{H} \propto \frac{d}{d\lambda} (\ln t(\lambda))|_{\lambda=0}$$

## Quantum algebras: deformed coproduct

$$\mathcal{L}(\lambda) = e^{\mu\lambda} \mathcal{L}^+ - e^{-\mu\lambda} \mathcal{L}^-$$

$$\mathcal{L}_{ab}^{\pm} \in U_q(gl_n)$$

As  $\lambda \rightarrow \pm\infty$   $\mathcal{L}$  and consequently  $T$  reduce to upper, lower triangular matrices.

$$T(\lambda \rightarrow \pm\infty) \propto T^{\pm},$$

entries of  $T^{\pm} \in U_q(gl_n)^{\otimes N}$

e.g.  $U_q(sl_2)$

$$\mathcal{L}(\lambda) = e^{\mu\lambda} \begin{pmatrix} q^{\textcolor{red}{s}z} & \textcolor{red}{s}^{-} 2 \sinh i\mu \\ 0 & q^{-\textcolor{red}{s}z} \end{pmatrix} - e^{-\mu\lambda} \begin{pmatrix} q^{-\textcolor{red}{s}z} & 0 \\ -\textcolor{red}{s}^{+} 2 \sinh i\mu & q^{\textcolor{red}{s}z} \end{pmatrix}$$

Then asymptotics of  $T$ :

$$T^+ \propto \begin{pmatrix} q^{S^z} & c^+ S^- \\ 0 & q^{-S^z} \end{pmatrix}, \quad T^- \propto \begin{pmatrix} q^{-S^z} & 0 \\ c^- S^+ & q^{S^z} \end{pmatrix}$$

$$S^z = \sum_{k=1}^N \mathbb{I} \otimes \dots \otimes \mathbb{I} \otimes s_k^z \otimes \mathbb{I} \dots \otimes \mathbb{I},$$

$$S^\pm = \sum_{k=1}^N q^{-s_1^z} \otimes \dots \otimes q^{-s_{k-1}^z} \otimes s_k^\pm \otimes q^{s_{k+1}^z} \otimes \dots \otimes q^{s_N^z}$$

$S^z, S^\pm$  tensor product realizations of  $U_q(sl_2)$  (*Jimbo '85*)

$$[S^+, S^-] = \frac{q^{2S^z} - q^{-2S^z}}{q - q^{-1}}, \quad [S^z, S^\pm] = \pm S^\pm$$

Study of the underlying quantum algebras (Yangians):  $so(n), sp(m), osp(n|m), sl(n|m)$ : *Arnaudon, Avan, Crampe, Doikou, Frappat, Ragoucy, '03-'05*

# Bethe ansatz

- Main aim: diagonalization of **transfer matrix** via algebraic Bethe ansatz.
1. Reference state: highest weight
  2. Use the  $RTT$  algebra exchange relations.
- Find the spectrum, analyticity requir. provide **BAE**.
  - BAE **important**, their solution  $\rightarrow$  **physically** relevant quantities: exact  $S$  matrices, thermodynamic properties, correlation functions...

## Diagonalization of $t(\lambda)$

The  $\mathcal{L}$ -matrix rewritten as

$$\mathcal{L}_{0n}(\lambda) = \begin{pmatrix} \alpha_n^+ & \beta_n \\ \gamma_n & \alpha_n^- \end{pmatrix}$$

$$\alpha_n^\pm = \sinh \mu (\lambda \pm i s_n^z), \quad \gamma_n = s_n^+ \sinh i\mu, \quad \beta_n = s_n^- \sinh i\mu$$

Reference state, highest weight:

$$\gamma_n |+\rangle_n = 0, \quad |\Omega\rangle = \bigotimes_{n=1}^N |+\rangle_n.$$

and consequently

$$T(\lambda)|\Omega\rangle = \begin{pmatrix} \mathcal{A}(\lambda) & \mathcal{B}(\lambda) \\ \textcolor{red}{0} & \mathcal{D}(\lambda) \end{pmatrix} |\Omega\rangle$$

the diagonal entries of  $T$  acting on the pseudovacuum give,

$$\mathcal{A}(\lambda)|\Omega\rangle = \sinh^N \mu(\lambda+is)|\Omega\rangle, \quad \mathcal{D}(\lambda)|\Omega\rangle = \sinh^N \mu(\lambda-is)|\Omega\rangle$$

**Assumption** the general Bethe state has the form

$$|\psi\rangle = \mathcal{B}(\lambda_1) \mathcal{B}(\lambda_2) \dots \mathcal{B}(\lambda_M) |\Omega\rangle$$

Solve the eigenvalue problem,

$$t(\lambda)|\psi\rangle = \left( \mathcal{A}(\lambda) + \mathcal{D}(\lambda) \right) |\psi\rangle = \Lambda(\lambda)|\psi\rangle$$

Commutation relations:  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{D}$ ,  $\mathcal{B}$ , from  $RTT = TTR$ ,

With the help of  $TTR = RTT$  obtain the eigenvalues of  $t(\lambda)$

$$\begin{aligned}\Lambda(\lambda) &= \prod_{j=1}^M \frac{\sinh \mu(\lambda - \lambda_j - i)}{\sinh \mu(\lambda - \lambda_j)} \sinh^N \mu(\lambda + is) \\ &+ \prod_{j=1}^M \frac{\sinh \mu(\lambda - \lambda_j + i)}{\sinh \mu(\lambda - \lambda_j)} \sinh^N \mu(\lambda - is)\end{aligned}$$

The analyticity of  $\Lambda \rightarrow \lambda$ 's satisfy BAE

$$e_{2s}(\lambda_i) = \prod_{j=1}^M e_2(\lambda_i - \lambda_j)$$

where  $e_n(\lambda) = \frac{\sinh \mu(\lambda + \frac{in}{2})}{\sinh \mu(\lambda - \frac{in}{2})}$

BAE  $\rightarrow$  physical quantities :  $S$ -matrix, free energy, specific heat, central charge...

## Energy momentum and spin in terms of BA roots

### Energy

$$E = -\frac{1}{2\pi} \sum_{j=1}^M \frac{\mu \sinh i\mu}{\sinh \mu(\lambda_j + \frac{i}{2}) \sinh \mu(\lambda_j - \frac{i}{2})}$$

### Momentum

$$P = -\sum_{j=1}^M i \ln \frac{\sinh \mu(\lambda_j + \frac{i}{2})}{\sinh \mu(\lambda_j - \frac{i}{2})}$$

### Spin

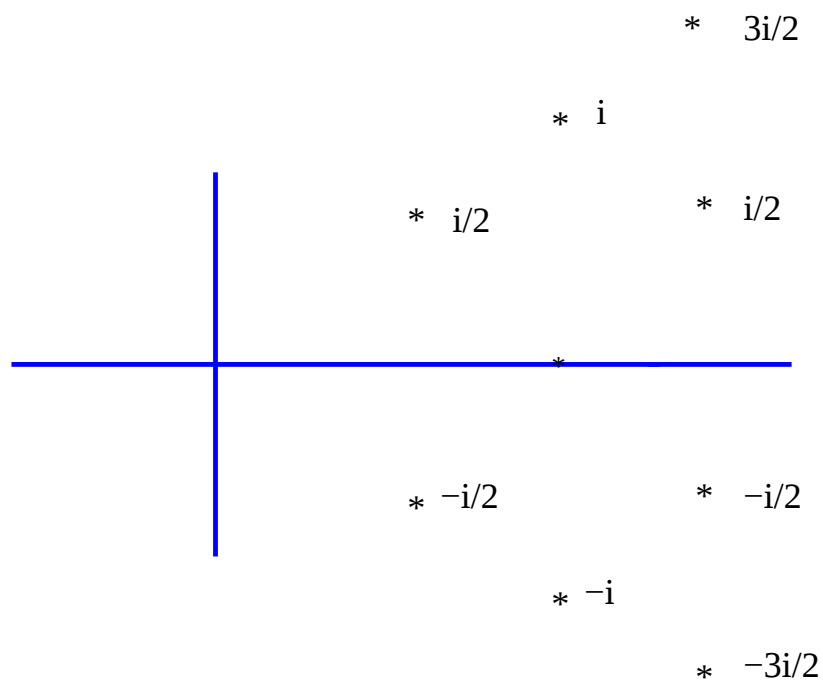
$$S^z = \frac{N}{2} - M$$

Note:  $E = \frac{1}{2\pi} \frac{dP}{d\lambda}$

## String hypothesis

Solutions of BAE for  $N \rightarrow \infty$  may be casted as  
*(Faddeev and Takhtajan '81):*

$$\lambda^{(n,j)} = \lambda_0^{(n)} + \frac{i}{2}(n+1-2j)$$



# Solving Bethe ansatz equations

Ground state: all real strings, filled Dirac sea  $S^z = 0$ .

Low lying excitations: Holes in the filled Dirac sea, particle like excitations

- Spin  $S^z = \frac{1}{2}$

- Energy  $\epsilon(\lambda) = \frac{1}{2 \cosh \frac{\pi \lambda}{2}}$

1 hole  $\rightarrow$  2D rep of  $SU(2)$ . State with 2 holes, the density (from the BAE):

$$\sigma(\lambda) = 2\pi\epsilon(\lambda) + \frac{1}{N}r(\lambda)$$

$$\hat{r}(\omega) = \frac{\sinh(\frac{\pi}{\mu}-2)\frac{\omega}{2}}{2 \cosh \frac{\omega}{2} \sinh(\frac{\pi}{\mu}-1)\frac{\omega}{2}}.$$

- **Main aim:** derive 2-hole scattering amplitude

Quantization condition (*Korepin '79, Andrei and Destri '84*)

$$(e^{ipN} S - 1)|\lambda_i\rangle = 0$$

recall  $\epsilon(\lambda) = \frac{1}{2\pi} \frac{dp(\lambda)}{d\lambda}$ , compare the **QC** with the density:

$$S_0(\lambda) = \exp \left[ - \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \hat{r}(\omega) e^{-i\omega\lambda} \right]$$

$$S_0(\lambda) = \exp \left[ - \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \frac{\sinh(\frac{\pi}{\mu} - 2)\frac{\omega}{2}}{2 \cosh \frac{\omega}{2} \sinh(\frac{\pi}{\mu} - 1)\frac{\omega}{2}} e^{-i\omega\lambda} \right]$$

sine Gordon  $S$ -matrix for  $\beta^2 = 8(\pi - \mu)$  (*Zamolodchikov '79*).

## More eigenvalues

$$S \propto R$$

We can find all the eigenvalues of the  $4 \times 4$   $S$  matrix: suitable string configurations

- 2 holes and a 2-string in the middle

$$S_a(\lambda) = \frac{\sinh \mu(\lambda - i)}{\sinh \mu(\lambda + i)} S_0(\lambda)$$

- 2 holes and a negative parity string in the middle

$$S_b(\lambda) = \frac{\cosh \mu(\lambda - i)}{\cosh \mu(\lambda + i)} S_0(\lambda)$$

Method applied for higher rank algebras, super-algebras: *Doikou and Nepomechie '97–'99, Doikou '00, Arnaudon, Avan, Crampe, Doikou, Frappat, Ragoucy, '03–'05*