Systematic construction of (boundary) Lax pairs

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Motivation

- Integrable b.c. interesting for integrable systems per ce, new info on boundary phenomena + learn more on bulk behavior. Examples of integrable b.c. that modify the bulk.
- Bigger picture, strong motivations nowadays: recent developments within the AdS/CFT context (*Minahan, Zarembo* '03). Important to study both quantum and classical integrable models.
- Further: recent results on open spin chains and open string theories (Agarwal, Hofman, Maldacena,...)

Outline

- Brief overview on quantum integrability (mathematical and physical description). Review periodic and open boundary conditions.
- Classical discrete integrable models: Review general setting. Lax pair and algebraic description. Generalize the "boundary" case. Rigorous universal results on IM and Lax pairs based on the underlying algebra. Examples: Discrete-self-trapping (DST) model (discrete NLS).
- Classical continuum integrable models: similar investigations; IM and boundary Lax pairs from the algebraic setting. Examples: NLS and sine-Gordon models.

Review quantum integrability

▶ The Yang-Baxter equation (*Baxter '72*)

 $R_{12}(\lambda_1 - \lambda_2) R_{13}(\lambda_1) R_{23}(\lambda_2) = R_{23}(\lambda_2) R_{13}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$

R acts on $V \otimes V$, YBE on $V \otimes V \otimes V$, and $R_{12} = R \otimes \mathbb{I}, R_{23} = \mathbb{I} \otimes R$ etc.

- R physically describes scattering, YBE the factorization of multi-particle scattering.
- ► *V* associated to reps of underlying (deformed) Lie algebras.

 Generalize the YBE to include generic reps of (deformed) Lie algebras (Faddeev, Takhtajan Reshetikhin):

 $R_{12}(\lambda_1 - \lambda_2) L_{1n}(\lambda_1) L_{2n}(\lambda_2) = L_{2n}(\lambda_2) L_{1n}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$

 $L \in \text{End}(V \otimes A)$, A defined by fundamental algebraic relation above: *deformed or quantum algebras*.

> This describes physically integrable models with periodic bc.

Periodic integrable models

Tensorial reps of the fundamental algebraic relation (*Faddeev*, *Takhtajan 80's*):

 $T_0(\lambda) = L_{0N}(\lambda) \ L_{0N-1}(\lambda) \dots L_{01}(\lambda)$

 T ∈ End(V ⊗ A^{⊗N}) satisfies the fundamental algebraic relation. The trace over the "auxiliary space", defines the transfer matrix :

 $t(\lambda) = tr_0 T_0(\lambda)$

▶ Via the *RTT* relations integrability is shown:

 $[t(\lambda), t(\lambda')] = 0, \quad \forall \lambda, \ \lambda'$

Open integrable models

 Introduce the reflection or boundary YBE (Cherednik, Sklyanin '80s)

 $R_{12}(\lambda_1 - \lambda_2)K_1(\lambda_1)R_{21}(\lambda_1 + \lambda_2)K_2(\lambda_2) =$

 $K_{2}(\lambda_{2})R_{12}(\lambda_{1}+\lambda_{2})K_{1}(\lambda_{1})R_{12}(\lambda_{1}-\lambda_{2})$

 $K \in \text{End}(V)$, the reflection matrix.

Physically describes the reflection of a particle-like excitation with the boundary of the system. ► Tensor reps of reflection equation (*Sklyanin '83*) $\mathbb{T}_0(\lambda) = T_0(\lambda) \ K_0(\lambda) \ T_0^{-1}(-\lambda)$

Define the open transfer matrix

 $t(\lambda) = tr_0\{K_0^+(\lambda) \mathbb{T}_0(\lambda)\}$

Using the reflection equation we show integrability

 $[t(\lambda), t(\lambda')] = 0, \quad \forall \lambda, \ \lambda'$

Generating function of IM.

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Classical limit

Now focus on classical models. Consider the classical limit of the R matrix as:

 $R = 1 + \hbar r + \mathcal{O}(\hbar^2)$

Then the *r* matrix satisfies the classical YBE (*Semenov-Tian-Shansky '83*)

 $[r_{12}, r_{13}] + [r_{12}, r_{23}] + [r_{13}, r_{23}] = 0.$

► The classical limit obtained by setting: $\frac{1}{\hbar}[,] = \{, \}$ $\{L_a(\lambda), L_b(\lambda')\} = [r_{ab}(\lambda - \lambda'), L_a(\lambda)L_b(\lambda')]$

Systematic study of classical limit (Avan, Doikou, Sfetsos '10).

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Discrete integrable classical models: periodic b.c.

 Lax pair (L, A) for discrete integrable models, and the associated auxiliary problem

$$\psi_{n+1} = L_n \ \psi_n$$
$$\dot{\psi}_n = A_n \ \psi_n$$

From the latter equations one obtains the discrete zero curvature condition:

$$\dot{L}_n = A_{n+1} \ L_n - L_n \ A_n$$

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 L-operator and T satisfy the quadratic classical algebraic relation (Faddeev, Takhtajan '87):

$$\{L_{a}(\lambda), L_{b}(\lambda')\} = [r_{ab}(\lambda - \lambda'), L_{a}(\lambda)L_{b}(\lambda')]$$

We formulate:

 $\{T_{a}(\lambda), L_{bn}(\lambda')\} = T_{a}(N, n+1; \lambda)r_{ab}(\lambda - \lambda')T_{a}(n, 1; \lambda)L_{bn}(\lambda')$ $- L_{bn}(\lambda')T_{a}(N, n; \lambda)r_{ab}(\lambda - \lambda')T_{a}(n-1, 1; \lambda)$

where $T_a(m, n; \lambda) = L_{am}(\lambda) \dots L_{an}(\lambda)$.

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Take the trace over the auxiliary space and the log then:

$$\{\ln t(\lambda), L(\lambda')\} = t^{-1} tr_a \Big(T_a(N, n+1; \lambda) r_{ab}(\lambda^-) T_a(n, 1; \lambda) \Big) L_b$$
$$-t^{-1} L_{bn}(\lambda') tr_a \Big(T_a(N, n; \lambda) r_{ab}(\lambda^-) T_a(n-1, 1; \lambda) \Big)$$

$$\lambda^- = \lambda - \lambda'$$

 t is the generating function of all I.M.: In t(λ) gives rise to all local Hamiltonians i.e.

$$\ln t(\lambda) = \sum_{n} \frac{\mathcal{H}^{(n)}}{\lambda^{n}}$$

The time evolution of L is given as:

$$\{\ln t(\lambda), L(\lambda')\} = \dot{L}(\lambda')$$

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The Lax pair obtained comparing with the zero curvature condition as: L_n and

$$A_{n}(\lambda) = t^{-1}(\lambda) tr_{a} \Big(T_{a}(N, n; \lambda) r_{ab}(\lambda - \lambda') T_{a}(n - 1, 1; \lambda) \Big)$$

expansion in powers of λ provides all A's associated to all I.M.
Expansion of ln t provides all local Hamiltonians.

$$A_n(\lambda) = \sum_n \frac{A_n^{(m)}}{\lambda^m}$$

One to one correspondence between Lax pairs and I.M.

Discrete integrable classical models: open b.c.

The underlying algebra:

 $\{ \mathbb{T}_{a}(\lambda), \mathbb{T}_{b}(\lambda') \} = r_{ab}(\lambda^{-}) \mathbb{T}_{a}(\lambda) \mathbb{T}_{b}(\lambda') - \mathbb{T}_{a}(\lambda) \mathbb{T}_{b}(\lambda') r_{ba}(\lambda^{-})$ + $\mathbb{T}_{a}(\lambda) r_{ba}(\lambda^{+}) \mathbb{T}_{b}(\lambda') - \mathbb{T}_{b}(\lambda') r_{ab}(\lambda^{+}) \mathbb{T}_{a}(\lambda)$

 $\lambda^{\pm} = \lambda \pm \lambda'.$

Recall the tensorial rep of the algebra

$$\mathbb{T}_{a}(\lambda) = T_{a}(\lambda) \ K_{a}(\lambda) \ T^{-1}(-\lambda)$$

K a c-number rep of the reflection algebra.

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 Extract the associated boundary Lax pair (Avan, Doikou '07). Formulate

$$\{T_a(\lambda), L_{bn}(\lambda')\} = \dots \\ \{T_a^{-1}(-\lambda), L_{bn}(\lambda')\} = \dots$$

Recall the generic rep of the reflection algebra and show that:

 $\{t(\lambda), L_{bn}(\lambda')\} = \dots$

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We read of the boundary quantity A_n, which satisfies the zero curvature condition together with L:

$$\begin{split} \mathbb{A}_{n} &= tr_{a} \Big(K_{a}^{+}(\lambda) T_{a}(N,n;\lambda) r_{ab}(\lambda^{-}) T_{a}(n-1,1;\lambda) K_{a}^{-}(\lambda) \hat{T}_{a}(\lambda) \\ &+ K_{a}^{+}(\lambda) T_{a}(\lambda) K_{a}^{-}(\lambda) \hat{T}_{a}(1,n-1;\lambda) r_{ba}(\lambda^{+}) \hat{T}_{a}(n,N;\lambda) \Big) \end{split}$$

Special care at the boundary points n = 1, n = N, recall $T(N, N+1) = T(0, 1) = \hat{T}(1, 0) = \hat{T}(N+1, N) = 1$

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Examples

Focus next to simple models associated to the sl₂-Yangian. The classical r matrix is:

$$r(\lambda - \lambda') = rac{\mathcal{P}}{\lambda - \lambda'}$$

 \mathcal{P} is the permutation operator $\mathcal{P}(a \otimes b) = b \otimes a$.

Focus on the Discrete-Self-Trapping (DST) model

 $L(\lambda) = (\lambda - xX) e_{11} + b e_{22} + b x e_{12} - X e_{21}$

 $(e_{ij})_{kl} = \delta_{ik}\delta_{jl}$, and

$$\{x_n, X_m\} = \delta_{nm}$$

Expand the t(λ) and keep the first charge, which is the Hamiltonian of the model. Focus on the simplest case: K[±] ∝ I then:

$$\mathcal{H}^{(2)} = -\frac{1}{2} \sum_{n=1}^{N} x_n^2 X_n^2 - b \sum_{n=1}^{N-1} x_{n+1} X_n - \frac{b^2}{2} x_1^2 - \frac{1}{2} X_N^2$$

The associated equation of motion:

 $\dot{L} = \{\mathcal{H}, L\}$

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► The expansion of A_n will provide the relevant boundary lax operator (Avan, Doikou '07):

$$\mathbb{A}_{n}^{(2)} = \begin{pmatrix} \lambda & bx_{n} \\ -X_{n-1} & 0 \end{pmatrix}, \quad n \in \{2, \dots, N\}$$

$$\mathbb{A}_{1}^{(2)} = \begin{pmatrix} \lambda & bx_{1} \\ -bx_{1} & 0 \end{pmatrix}, \quad \mathbb{A}_{N-1}^{(2)} = \begin{pmatrix} \lambda & X_{N} \\ -X_{N} & 0 \end{pmatrix}$$

The associated equations of motion given as

$$\dot{L}_n = \mathbb{A}_{n+1}^{(i)} L_n - L_n \mathbb{A}_n^{(i)}$$

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For the specific example the relevant equations of motion are:

$$\dot{x}_n = x_n^2 X_n + b x_{n+1}, \quad \dot{X}_n = -x_n X_n^2, \quad n \in \{2, \dots, N-1\} \dot{x}_1 = x_1^2 X_1 + b x_2, \quad \dot{X}_1 = -x_1 X_1^2 - b x_1 \dot{x}_N = x_N^2 X_N + X_N, \quad \dot{X}_N = -x_N$$

The Toda chain also obtained for the DST model. Specifically, set:

$$X_n
ightarrow e^{-q_n}, \qquad x_n
ightarrow e^{q_n}(b^{-1}+p_n)$$

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The harmonic oscillator algebra (x_n, X_n, x_nX_n) reduces to the Euclidean Lie algebra (e^{±q_n}, p_n) and the Lax operator:

$$L(\lambda) = \begin{pmatrix} \lambda - p_n & e^{q_n} \\ -e^{-q_n} & 0 \end{pmatrix}$$

The Hamiltonian is then:

$$\mathcal{H}^{(2)} = -\frac{1}{2} \sum_{i=1}^{N} p_n^2 - \sum_{n=1}^{N-1} e^{q_{n+1}-q_n} - \frac{1}{2} e^{2q_1} - \frac{1}{2} e^{-2q_N}$$

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> The relevant equations of motion for the open Toda chain:

$$p_n = \dot{q}_n, \qquad \ddot{q}_n = e^{q_{n+1}-q_n} - e_{q_n-q_{n-1}}, \qquad n \in \{2, \dots, N-1\}$$

$$p_1 = q_1, \qquad \ddot{q}_1 = e^{q_2-q_1} - e^{2q_1}$$

$$p_N = q_N, \qquad \ddot{q}_N = e^{-2q_N} - e^{q_N-q_{N-1}}$$

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Continuum integrable classical models on the full line

Let U, V be the continuum Lax pair, and Ψ be the solution of the following set of equations:

$$\frac{\partial \Psi}{\partial x} = \mathbb{U}(x, t, \lambda) \Psi$$
$$\frac{\partial \Psi}{\partial t} = \mathbb{V}(x, t, \lambda) \Psi$$

 Compatibility condition of the above set gives the zero curvature condition

 $\dot{\mathbb{U}} - \mathbb{V}' + [\mathbb{U}, \ \mathbb{V}] = 0$

Solution of the 1st equ. (monodromy):

$$T(x, y; \lambda) = \operatorname{P} \exp\{\int_{v}^{x} \mathbb{U}(x', t, \lambda) dx'\}$$

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 T satisfies the fundamental quadratic relation. Formulate (*Faddeev*, *Takhtajan '87*):

$$\{T_a(L, -L, \lambda), \mathbb{U}(x, \lambda)\} = \frac{\partial M(x, \lambda, \lambda')}{\partial x} + [M(x, \lambda, \lambda'), \mathbb{U}_b(x, \lambda)]$$

where

$$M = T_a(L, x, \lambda) r_{ab}(\lambda - \lambda') T_a(x, -L, \lambda)$$

It then follows:

$$\{\ln t(\lambda), \ \mathbb{U}(x,\lambda)\} = \frac{\partial \mathbb{V}}{\partial x} + [\mathbb{V}(x,\lambda,\lambda'), \ \mathbb{U}(x,\lambda)]$$

 ${\mathbb V}$ identified as:

$$\mathbb{V}(x,\lambda,\lambda') = t^{-1}(\lambda)tr_a(M_{ba})$$

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Continuum integrable classical models on the interval

Recalling that the rep of the reflection algebra is

$$\mathbb{T}_{a}(x, y, \lambda) = T_{a}(x, y, \lambda) \ K_{a}(\lambda) \ \hat{T}_{a}(x, y, \lambda)$$

Formulating $\{T, \mathbb{U}\}, \{\hat{T}, \mathbb{U}\}$ (Avan, Doikou '07):

$$\{\ln t(\lambda), \ \mathbb{U}(x,\lambda')\} = \frac{\partial \mathbb{V}(x,\lambda,\lambda')}{\partial x} + [\mathbb{V}(x,\lambda,\lambda'), \ \mathbb{U}(x,\lambda,\lambda')]$$

and

$$\mathbb{V}(x,\lambda,\lambda') = t^{-1}(\lambda) tr_{a} \Big(\mathcal{K}_{a}^{+}(\lambda) \mathbb{M}_{a}(x,\lambda,\lambda') \Big)$$

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• The boundary quantity \mathbb{M} is

$$\mathbb{M} = T(0, x, \lambda) r_{ab}(\lambda - \lambda') T(x, -L, \lambda) K^{-}(\lambda) \hat{T}(0, -L, \lambda)) + T(0, -L, \lambda) K^{-}(\lambda) \hat{T}(x, -L, \lambda) r_{ba}(\lambda + \lambda') \hat{T}(0, x, \lambda)$$

pay particular attention at the boundary points (key point!) x = 0, -L; take into account $T(x, x, \lambda) = \hat{T}(x, x, \lambda) = \mathbb{I}$.

 Systematic derivation independent of the choice of model, as opposed to 'by hand' construction in earlier investigations e.g. ATFT (Bowcock, Corrigan, Dorey, Rietdijk '95)

Example: boundary NLS model

Consider the NLS model. The associated r matrix

$$r(\lambda) = \frac{\mathcal{P}}{\lambda}$$

Recall the Lax pair

$$\mathbb{U} = \mathbb{U}_0 + \lambda \mathbb{U}_1, \qquad \mathbb{V} = \mathbb{V}_0 + \lambda \mathbb{V}_1 + \lambda^2 \mathbb{V}_2$$

where

$$\mathbb{U}_1 = \frac{1}{2i}(e_{11} - e_{22}), \qquad \mathbb{U}_0 = \bar{\psi}e_{12} + \psi e_{21} \\ \mathbb{V}_0 = i|\psi|^2(e_{11} - e_{22}) - i\bar{\psi}'e_{12} + i\psi'e_{21} \\ \mathbb{V}_1 = -\mathbb{U}_0, \qquad \mathbb{V}_2 = -\mathbb{U}_1$$

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• The fields
$$\psi, \ ar{\psi}$$
 are canonical

$$\{\psi(x), \ \overline{\psi}(y)\} = \delta(x-y)$$

From the zero curvature conditions the equations of motion for NLS:

$$irac{\partial\psi(x,t)}{\partial t} = -rac{\partial^2\psi(x,t)}{\partial^2 x} + 2|\psi(x,t)|^2\psi(x,t)$$

 Idea: via the process described integrable boundary conditions (system on the half line).



$$K(\lambda) = \lambda(e_{22} - e_{11}) + i\xi\mathbb{I}$$

Consider the ansatz:

$$T(x, y, \lambda) = \left(1 + W(x, \lambda)\right) e^{Z(x, y, \lambda)} \left(1 + W(y, \lambda)\right)^{-1}$$

Z is purely diagonal, W off diagonal. Determine Z, W from $\frac{d}{dxT} = \mathbb{U}T$, and find the associated integrals of motion.

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Expanding the transfer matrix obtain the classical integrals of motion for NLS in the interval (*Doikou*, *Fioravanti*, *Ravanini*, '07):

$$\begin{split} \mathcal{N} &= \int_{-L}^{0} dx \; \psi(x) \bar{\psi}(x) \\ \mathcal{H} &= \int_{-L}^{0} dx \; \left(|\psi(x)|^{4} + \psi'(x) \bar{\psi}'(x) \right) \\ &- \psi(0) \bar{\psi}'(0) - \psi'(0) \bar{\psi}(0) - \xi^{+} \psi(0) \bar{\psi}(0) \\ &+ \psi(-L) \bar{\psi}'(-L) + \psi'(-L) \bar{\psi}(-L) + \xi^{-} \psi(-L) \bar{\psi}(-L) \end{split}$$

 Only odd charges are conserved! E.g. momentum is not a conserved quantity anymore.

▶ The corresponding equations of motion obtained from:

$$\frac{\partial \psi(x,t)}{\partial x} = \{\mathcal{H}, \ \psi(x,t)\}, \quad \frac{\partial \bar{\psi}(x,t)}{\partial x} = \{\mathcal{H}, \ \bar{\psi}(x,t)\} \\ -L \le x \le 0$$

And are of the form:

$$\begin{split} i\frac{\partial\psi(x,t)}{\partial t} &= -\frac{\partial^2\psi(x,t)}{\partial^2 x} + 2|\psi(x,t)|^2\psi(x,t)\\ \left(\frac{\partial\psi(x)}{\partial x} - \xi^{\pm}\psi(x)\right)_{x=0,\ -L} &= 0. \end{split}$$

Mixed boundary conditions.

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Following the generic formulation described we identify the associated boundary Lax pair (Avan, Doikou '07):

$$\begin{split} \mathbb{V}_b(x_b,t) &= \mathbb{V}(x_b,t) + \Delta \mathbb{V}(x_b,t) \\ &= \mathbb{V}(x_b,t) + i |\psi(x_b,t)|^2 e_{22} + \lambda \Big(\bar{\psi}(x_b,t) e_{12} + \psi(x_b,t) e_{21} \Big) \end{split}$$

 $x_b = 0, -L.$

▶ Obtain the equations of motion, and continuity arguments at the boundary point lead to $\Delta \mathbb{V} = 0 \rightarrow$ boundary conditions

Example: boundary sine-Gordon

• The Lax pair for the sine Gordon model $(u = e^{\lambda})$

$$\mathbb{U}(x,t,u) = \frac{\beta}{4i}\pi(x) + \frac{mu}{4i}e^{\frac{i\beta}{4}\phi\sigma_3}\sigma_2 e^{-\frac{i\beta}{4}\phi\sigma_3} - \frac{mu^{-1}}{4i}e^{-\frac{i\beta}{4}\phi\sigma_3}\sigma_2 e^{\frac{i\beta}{4}\phi\sigma_3}$$
$$\mathbb{V}(x,t,u) = \frac{\beta}{4i}\phi'(x) + \frac{mu}{4i}e^{\frac{i\beta}{4}\phi\sigma_3}\sigma_2 e^{-\frac{i\beta}{4}\phi\sigma_3} + \frac{mu^{-1}}{4i}e^{-\frac{i\beta}{4}\phi\sigma_3}\sigma_2 e^{\frac{i\beta}{4}\phi\sigma_3}$$

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The classical r-matrix for the sine-Gordon model (Jimbo '86)

$$r(\lambda) = \frac{\cosh \lambda}{\sinh \lambda} \sum_{i=1}^{2} e_{ii} \otimes e_{ii} + \frac{1}{\sinh \lambda} \sum_{i \neq j=1}^{2} e_{ij} \otimes e_{ji}$$

Choose the reflection matrix (Ghoshal, Zamolodchikov '94)

 $\mathcal{K}(\lambda) = \begin{pmatrix} \sinh(\lambda + i\xi) & x^+\kappa\sinh(2\lambda) \\ x^-\kappa\sinh(2\lambda) & \sinh(-\lambda + i\xi) \end{pmatrix}, \quad x^- x^+ = 1$

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The boundary Hamiltonian via the process described obtained (*MacIntyre '95*), also at quantum level (*Ghoshal, Zamolodchikov '94*):

$$\mathcal{H} = \int_{-L}^{0} dx \left(\frac{\beta}{4i}(\pi^{2} + \phi'^{2}) + \frac{m^{2}}{\beta^{2}}(1 - \cos\beta\phi)\right)$$
$$+ \frac{4Pm}{\beta^{2}}\cos\frac{\beta\phi(0)}{2} - \frac{4Qm}{\beta^{2}}\sin\frac{\beta\phi(0)}{2}$$
$$P = -\frac{\sin\xi}{2i\kappa}, \qquad Q = \frac{\cos\xi}{2i\kappa}$$

 Generalize to ATFT's, full classification of integrable b.c., classical I.M. (*Doikou '08*)

The boundary Lax pair reads (Avan, Doikou '08):

$$\mathbb{V}^{(b)}(0,t,u) = \mathbb{V}(0,t,u) + \Delta \mathbb{V}(0,t,u)$$
$$\Delta \mathbb{V}(0,t,u) = -\frac{\beta}{4i}\phi'(0)\sigma_3 - \frac{m}{8\kappa}\cos(\xi + \frac{\beta}{2}\phi(0))\sigma_3$$

Different choices of reflection matrices modify the boundary conditions: Dirichlet, Neumann or mixed

 Generalize the construction of boundary Lax pairs to ATFT's (Avan, Doikou '08) ► From the zero curvature condition and continuity requirement ΔV = 0 we get the E.M and the boundary conditions:

$$\ddot{\phi}(x,t) - \phi''(x,t) = -rac{m^2}{eta} \sin(eta \phi(x,t))$$
 $eta \phi'(0) = rac{m}{2i\kappa} \cos(\xi + rac{eta}{2}\phi(0))$

Mixed boundary conditions.

Discussion

- Results have been obtained for models associated to higher rank Lie algebras e.g. vector NLS model (*Doikou, Fioravanti, Ravanini '07*) and ATFT (*Doikou '08*, and *Avan, Doikou '08*).
- Full classification of integrable boundary conditions in these models. Two distinct types of boundary conditions emerge: the soliton preserving, and the soliton non-preserving. *Dynamical boundaries*, e.g. coupled harmonic oscillator at the ends.
- Full classification of integrable boundary conditions at the quantum level as well (*Doikou '00*, and *Arnaudon*, *Avan*, *Crampe*, *Doikou*, *Frappat*, *Ragoucy '03*, '04).